

Using LLL's Algorithm to factor Polynomials in $\mathbb{Q}[X]$

Hieu Ha Van, Lambert Rosique and Thieu Vo Ngoc

Arithm. Algo. course's Presentation

22 November 2012

Main Algorithm

Algorithm

INPUT: *Primitive polynomial* $f \in \mathbb{Z}[X]$ of degree $n > 0$

Main Algorithm

Algorithm

INPUT: *Primitive polynomial $f \in \mathbb{Z}[X]$ of degree $n > 0$*

OUTPUT: *Irreducible factors of f in $\mathbb{Z}[X]$*

Main Algorithm

Algorithm

INPUT: *Primitive polynomial $f \in \mathbb{Z}[X]$ of degree $n > 0$*

OUTPUT: *Irreducible factors of f in $\mathbb{Z}[X]$*

1st step :

Main Algorithm

Algorithm

INPUT: *Primitive polynomial $f \in \mathbb{Z}[X]$ of degree $n > 0$*

OUTPUT: *Irreducible factors of f in $\mathbb{Z}[X]$*

1st step :

- Calculate $R(f, f')$ using "subresultant algorithm"

Main Algorithm

Algorithm

INPUT: *Primitive polynomial $f \in \mathbb{Z}[X]$ of degree $n > 0$*

OUTPUT: *Irreducible factors of f in $\mathbb{Z}[X]$*

1st step :

- Calculate $R(f, f')$ using "subresultant algorithm"
 - a) If $R(f, f') = 0$ then let $g = \gcd(f, f')$

Main Algorithm

Algorithm

INPUT: Primitive polynomial $f \in \mathbb{Z}[X]$ of degree $n > 0$

OUTPUT: Irreducible factors of f in $\mathbb{Z}[X]$

1st step :

- Calculate $R(f, f')$ using "subresultant algorithm"
 - If $R(f, f') = 0$ then let $g = \gcd(f, f')$
Replace $f \leftarrow \frac{f}{g}$

Main Algorithm

Algorithm

INPUT: Primitive polynomial $f \in \mathbb{Z}[X]$ of degree $n > 0$

OUTPUT: Irreducible factors of f in $\mathbb{Z}[X]$

1st step :

- Calculate $R(f, f')$ using "subresultant algorithm"
 - If $R(f, f') = 0$ then let $g = \gcd(f, f')$
Replace $f \leftarrow \frac{f}{g}$ so that $R(f, f') \neq 0$

Main Algorithm

Algorithm

INPUT: Primitive polynomial $f \in \mathbb{Z}[X]$ of degree $n > 0$

OUTPUT: Irreducible factors of f in $\mathbb{Z}[X]$

1st step :

- Calculate $R(f, f')$ using "subresultant algorithm"

a) If $R(f, f') = 0$ then let $g = \gcd(f, f')$

Replace $f \leftarrow \frac{f}{g}$ so that $R(f, f') \neq 0$

b) Else, go to step 2.

Algorithm

2nd step :

Algorithm

2nd step :

a) Find $p \nmid R(f, f')$ smallest in P .

Algorithm

2nd step :

a) Find $p \nmid R(f, f')$ smallest in P .

b) Apply Berlekamp's algo. \implies irreducible factors of $(f \bmod p)$ in F_p

Algorithm

2nd step :

a) Find $p \nmid R(f, f')$ smallest in P .

b) Apply Berlekamp's algo. \implies irreducible factors of $(f \bmod p)$ in F_p

Remark

$$R(f, f') = \pm a_n(f) \cdot \Delta(f) \neq 0 \bmod p$$

Algorithm

2nd step :

a) Find $p \nmid R(f, f')$ smallest in P .

b) Apply Berlekamp's algo. \implies irreducible factors of $(f \bmod p)$ in F_p

Remark

$R(f, f') = \pm a_n(f) \cdot \Delta(f) \not\equiv 0 \bmod p$ so $\deg(f \bmod p) = n$

Algorithm

2nd step :

a) Find $p \nmid R(f, f')$ smallest in P .

b) Apply Berlekamp's algo. \implies irreducible factors of $(f \bmod p)$ in F_p

Remark

$R(f, f') = \pm a_n(f) \cdot \Delta(f) \not\equiv 0 \bmod p$ so $\deg(f \bmod p) = n$ and f has no multiple factors in F_p

Algorithm

2nd step :

a) Find $p \nmid R(f, f')$ smallest in P .

b) Apply Berlekamp's algo. \implies irreducible factors of $(f \bmod p)$ in F_p

Remark

$R(f, f') = \pm a_n(f) \cdot \Delta(f) \not\equiv 0 \bmod p$ so $\deg(f \bmod p) = n$ and f has no multiple factors in F_p Consequence : (2.4) holds for all irreducible factor of $(f \bmod p)$ in $F_p[X]$

Algorithm

3rd step :

Algorithm

3rd step :

*Let $f = f_1 \cdot f_2$ in $\mathbb{Z}[X]$ with f_1 in $\mathbb{Z}[X]$ and $f_2 \bmod p$ in $F_p[X]$
completely factorized*

Algorithm

3rd step :

Let $f = f_1 \cdot f_2$ in $Z[X]$ with f_1 in $Z[X]$ and $f_2 \bmod p$ in $F_p[X]$
completely factorized

a) Start with $f_1 = 1$ and $f_2 = f$

Algorithm

3rd step :

Let $f = f_1 \cdot f_2$ in $\mathbb{Z}[X]$ with f_1 in $\mathbb{Z}[X]$ and $f_2 \bmod p$ in $F_p[X]$
completely factorized

a) Start with $f_1 = 1$ and $f_2 = f$

b) While $f_2 \neq \pm 1$ do :

α . Choose an irreducible factor ($h \bmod p$) of ($f_2 \bmod p$), reduced,
 $a_{\deg(h)}(h) = 1$

Algorithm

3rd step :

Let $f = f_1 \cdot f_2$ in $\mathbb{Z}[X]$ with f_1 in $\mathbb{Z}[X]$ and $f_2 \bmod p$ in $F_p[X]$
completely factorized

a) Start with $f_1 = 1$ and $f_2 = f$

b) While $f_2 \neq \pm 1$ do :

α . Choose an irreducible factor ($h \bmod p$) of ($f_2 \bmod p$), reduced,
 $a_{\deg(h)}(h) = 1$

β . Apply Sub-Algorithm 1 to find irred. factor h_0 of f_2 in $\mathbb{Z}[X]$
st :

$(h \bmod p) \mid (h_0 \bmod p)$

Algorithm

3rd step :

Let $f = f_1 \cdot f_2$ in $\mathbb{Z}[X]$ with f_1 in $\mathbb{Z}[X]$ and $f_2 \bmod p$ in $F_p[X]$
completely factorized

a) Start with $f_1 = 1$ and $f_2 = f$

b) While $f_2 \neq \pm 1$ do :

α . Choose an irreducible factor ($h \bmod p$) of ($f_2 \bmod p$), reduced,
 $a_{\deg(h)}(h) = 1$

β . Apply Sub-Algorithm 1 to find irred. factor h_0 of f_2 in $\mathbb{Z}[X]$
st :

$(h \bmod p) \mid (h_0 \bmod p)$

γ . $f_1 \leftarrow f_1 \cdot h_0$ and $f_2 \leftarrow \frac{f_2}{h_0}$

Algorithm

3rd step :

Let $f = f_1 \cdot f_2$ in $\mathbb{Z}[X]$ with f_1 in $\mathbb{Z}[X]$ and $f_2 \bmod p$ in $F_p[X]$ completely factorized

a) Start with $f_1 = 1$ and $f_2 = f$

b) While $f_2 \neq \pm 1$ do :

α . Choose an irreducible factor ($h \bmod p$) of ($f_2 \bmod p$), reduced,
 $a_{\deg(h)}(h) = 1$

β . Apply Sub-Algorithm 1 to find irred. factor h_0 of f_2 in $\mathbb{Z}[X]$
st :

$(h \bmod p) \mid (h_0 \bmod p)$

γ . $f_1 \leftarrow f_1 \cdot h_0$ and $f_2 \leftarrow \frac{f_2}{h_0}$

δ . Delete irreducible factors of ($f_2 \bmod p$) dividing ($h_0 \bmod p$)

Sub-Algorithm 1

Algorithm

INPUTS: f primitive polynomial in $\mathbb{Z}[X]$, of degree $n > 0$, p prime, $h \in \mathbb{Z}[X]$ reduced and satisfying hypothesis for $k = 1$

Sub-Algorithm 1

Algorithm

INPUTS: f primitive polynomial in $Z[X]$, of degree $n > 0$, p prime, $h \in Z[X]$ reduced and satisfying hypothesis for $k = 1$

OUTPUT: h_0 irreducible factor of f st $(h \bmod p) \mid (h_0 \bmod p)$

Sub-Algorithm 1

Algorithm

INPUTS: f primitive polynomial in $Z[X]$, of degree $n > 0$, p prime, $h \in Z[X]$ reduced and satisfying hypothesis for $k = 1$

OUTPUT: h_0 irreducible factor of f st $(h \bmod p) \mid (h_0 \bmod p)$

1st step :

Sub-Algorithm 1

Algorithm

INPUTS: f primitive polynomial in $Z[X]$, of degree $n > 0$, p prime, $h \in Z[X]$ reduced and satisfying hypothesis for $k = 1$

OUTPUT: h_0 irreducible factor of f st $(h \bmod p) \mid (h_0 \bmod p)$

1st step :

a) While $h_0 \neq f$ do

α . Find least $k \in \mathbb{N}$ st : $p^{kl} > 2^{(n-1)n/2} \cdot \binom{2(n-1)}{n-1}^{n/2} \cdot |f|^{2n-1}$

Sub-Algorithm 1

Algorithm

INPUTS: f primitive polynomial in $Z[X]$, of degree $n > 0$, p prime, $h \in Z[X]$ reduced and satisfying hypothesis for $k = 1$

OUTPUT: h_0 irreducible factor of f st $(h \bmod p) \mid (h_0 \bmod p)$

1st step :

a) While $h_0 \neq f$ do

α . Find least $k \in \mathbb{N}$ st : $p^{kl} > 2^{(n-1)n/2} \cdot \binom{2(n-1)}{n-1}^{n/2} \cdot |f|^{2n-1}$

β . Use Hensel's lemma to modify h without changing $(h \bmod p)$ st (2.2) still holds for this k

Algorithm

γ . Let $u \in \mathbb{N}$ greatest st : $l \leq (n - 1)/2^u$

Algorithm

γ . Let $u \in \mathbb{N}$ greatest st : $l \leq (n - 1)/2^u$
For i from u down to 0 do :

Algorithm

γ . Let $u \in \mathbb{N}$ greatest st : $l \leq (n - 1)/2^u$

For i from u down to 0 do :

 Apply Sub-Algo 2 with $m = \lfloor (n - 1)/2^i \rfloor$

Algorithm

γ . Let $u \in \mathbb{N}$ greatest st : $l \leq (n-1)/2^u$

For i from u down to 0 do :

 Apply Sub-Algo 2 with $m = \lfloor (n-1)/2^i \rfloor$

 If it succeeds in determining h_0 , break

Algorithm

γ . Let $u \in \mathbb{N}$ greatest st : $l \leq (n-1)/2^u$

For i from u down to 0 do :

 Apply Sub-Algo 2 with $m = \lfloor (n-1)/2^i \rfloor$

 If it succeeds in determining h_0 , break

 Else, $\deg(h_0) > n-1$ so $h_0 = f$.

Sub-Algorithm 2

Algorithm

INPUTS: f primitive polynomial in $\mathbb{Z}[X]$, of degree $n > 0$, p prime, $h \in \mathbb{Z}[X]$ reduced (mod p^k) and satisfying hypothesis

Sub-Algorithm 2

Algorithm

INPUTS: f primitive polynomial in $\mathbb{Z}[X]$, of degree $n > 0$, p prime, $h \in \mathbb{Z}[X]$ reduced (mod p^k) and satisfying hypothesis

OUTPUT: Answer to "Is $\deg(h_0) \leq m$?" plus h_0 if yes,

Sub-Algorithm 2

Algorithm

INPUTS: f primitive polynomial in $Z[X]$, of degree $n > 0$, p prime, $h \in Z[X]$ reduced (mod p^k) and satisfying hypothesis

OUTPUT: Answer to "Is $\deg(h_0) \leq m$?" plus h_0 if yes,

for h_0 as in proposition, an $m \geq \deg(h) = l$ given st :

$$p^{kl} > 2^{mn/2} \cdot \binom{2m}{m}^{n/2} \cdot |f|^{m+n}$$

Algorithm

a) Let L lattice as before, of basis

$$\{p^k X^i : 0 \leq i < l\} \cup \{hX^j : 0 \leq j \leq m - l\}$$

Find a reduced basis b_1, b_2, \dots, b_{m+1}

Algorithm

a) Let L lattice as before, of basis

$$\{p^k X^i : 0 \leq i < l\} \cup \{hX^j : 0 \leq j \leq m - l\}$$

Find a reduced basis b_1, b_2, \dots, b_{m+1}

b) If $|b_1| \geq (p^{kl}/|f|^m)^{1/n}$ then $\deg(h_0) > m$, break

Algorithm

a) Let L lattice as before, of basis

$$\{p^k X^i : 0 \leq i < l\} \cup \{hX^j : 0 \leq j \leq m - l\}$$

Find a reduced basis b_1, b_2, \dots, b_{m+1}

b) If $|b_1| \geq (p^{kl}/|f|^m)^{1/n}$ then $\deg(h_0) > m$, break

c) Else then $\deg(h_0) \leq m$ and $h_0 = \gcd(b_1, \dots, b_t)$ (with t as seen before)

Just calculate h_0 by repeatedly applying Subresultant algorithm.